

403(b)
TRANSFER REQUEST FORM



This 403(b) Transfer Request Form gathers information necessary to facilitate a direct transfer of assets from an existing 403(b) arrangement to a Non-Title I 403(b)(7) Custodial Account established with Unified Financial Securities, Inc. You will need to complete a New Account Agreement if you do not already have an account established. If you have any questions regarding this transfer form, please call Shareholder Services at 1-888-826-2520.

PART I: INVESTOR INFORMATION (*DENOTES REQUIRED INFORMATION)

Owner's Name* (First, M.I., Last) _____ Date of Birth* _____ Social Security Number* _____

Owner's Street Address (Physical Address)* Apt # _____ City* _____ State* _____ Zip Code* _____

Daytime Phone* _____ Evening Phone _____

PART II: INFORMATION REGARDING CURRENT 403(B) TRUSTEE, CUSTODIAN OR ISSUER

Name of Current Trustee, Custodian or Issuer * _____ Name of Contact* _____

P.O. Box* _____ Suite # _____ City* _____ State* _____ Zip Code* _____

Current Account or Annuity Contract Number* _____ Daytime Phone* _____

PART III: INSTRUCTIONS TO CURRENT 403(b) TRUSTEE, CUSTODIAN OR ISSUER (PLEASE ATTACH A STATEMENT)

A 403(b)(7) Custodial Account has been established in my name with Unified Financial Securities, Inc. I hereby direct you to transfer all or a portion of my 403(b) assets in the account or contract identified above to Unified Financial Securities, Inc. in the manner described below:

- Immediately liquidate and transfer the total asset value/cash surrender value of my current 403(b) arrangement.
- Liquidate and transfer \$ _____ or _____ % of my current 403(b) arrangement and retain the balance.*

*For partial transfers, indicate which investment(s) are to be liquidated on a separate sheet and attach it to this form.

Please send proceeds by check:

Make check payable as follows: Dividend Growth Trust: FBO _____ 403(b)
Investor's Name

Please mail check to:

<p><u>Regular Mail Delivery</u> Dividend Growth Trust P.O. Box 6110 Indianapolis, IN 46206-6110</p>	<p><u>Overnight Delivery</u> Dividend Growth Trust 431 N. Pennsylvania Street Indianapolis, IN 46204</p>
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PART IV: INVESTMENT SELECTION

Next to the fund name, indicate the amount of your investment.

- | A. FUND CHOICE: | AMOUNT: |
|--|----------------|
| <input type="checkbox"/> Rising Dividend Growth Fund Class A | \$ _____ |
| <input type="checkbox"/> Rising Dividend Growth Fund Class C | \$ _____ |

TOTAL INVESTMENTS \$ _____

PART V: ACKNOWLEDGEMENT

I certify that I am of legal age and capacity and am authorized to purchase shares. I have received and read the current prospectus for Dividend Growth Trust and agree to be bound by its terms and conditions. By signing this 403(b) Transfer Request form, I certify that the information provided is true and correct and I authorize the Trustee, Custodian or Issuer of my current 403(b) arrangement to liquidate and transfer all or a portion of my current 403(b) arrangement as instructed above. I understand that the rules governing the tax-free transfer of 403(b) assets from one account or contract to another are complex and I assume full responsibility for ensuring I am eligible to authorize this transfer and I assume all responsibilities for any consequences that may arise as a result of my actions. I indemnify and hold the current trustee, custodian or issuer of my 403(b) arrangement harmless from any consequences related to executing my directions. I have been advised to seek competent legal and tax advice and have not been provided any such advice by Unified Financial Securities, Inc. or the current trustee, custodian or issuer of my 403(b) arrangement. I understand that if I am over age 70-1/2 and subject to the federal required minimum distribution rules I may not transfer any required distribution amounts and certify that the amount transferred does not include any such required distribution amounts.

Investor's Signature

Date

PART VI: SIGNATURE GUARANTEE

A signature guarantee is designed to protect the account from fraud. ****Please contact your present custodian to see if a signature guarantee is required for transfer. This will ensure that your transfer will be processed in a timely manner.**

Obtain a signature guarantee from a:

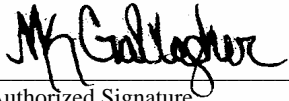
- Bank or trust company
- Savings association
- Credit union
- Broker, dealer, or securities exchange member

Note: Notarization by a notary public is not a signature guarantee and is not an acceptable substitute.

SIGNATURE GUARANTEE

PART VII: LETTER OF ACCEPTANCE (TO BE COMPLETED BY NEW CUSTODIAN)

Unified Financial Securities Inc., as Custodian of the receiving 403(b) arrangement, agrees to accept the transfer as instructed above.



Authorized Signature

Date

MAILING INSTRUCTIONS

Please send completed form to:

Regular Mail Delivery

Dividend Growth Trust
P.O. Box 6110
Indianapolis, IN 46206-6110

Overnight Delivery

Dividend Growth Trust
431 N. Pennsylvania Street
Indianapolis, IN 46204